



06-28-01

671282

EXPRESS MAIL NO. EL755729994US

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(To be used for all correspondence after initial filing)


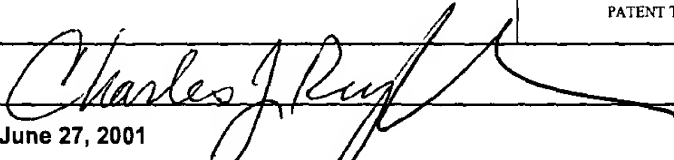
Application Number	09/632,388 ✓
Filing Date	August 3, 2000
First Named Inventor	Shin Hwa Li
Group Art Unit	2823
Examiner Name	Kurt Eaton
Attorney Docket No.	98-P-009C1(850063.529C1)

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____ _____
--	--	---

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Charles J. Rupnick (Reg. No. 43,068)	 28899 PATENT TRADEMARK OFFICE
Signature		
Date	June 27, 2001	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name		
Signature		Date:



EXPRESS MAIL NO. EL755729994US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shin Hwa Li et al.
Application No : 09/632,388
Filed : August 3, 2000
For : SEMICONDUCTOR STRUCTURE HAVING AN IMPROVED
PRE-METAL DIELECTRIC STACK AND METHOD FOR
FORMING THE SAME

Art Unit : 2823
Docket No. : 98-P-009C1 (850063.529C1)
Date : June 27, 2001

Box Non-Fee Amendment
Commissioner for Patents
Washington, DC 20231

PATENT
Amend
7/c 7/9/01
O. Carby

RECEIVED
JUL - 3 2001
TO 2800 MAIL ROOM

AMENDMENT

Commissioner for Patents:

In response to the Office Action dated March 27, 2001, please amend the application as follows:

In the Claims:

Please add new claims 20-27 to read as follows:

20. (New) A semiconductor device sub-structure, comprising:
a substrate;
an oxide layer disposed over the substrate in a pattern having a physical contour
of at least one or more recessed portions and at least one or more extended portions;